

Electronic Acknowledgement Receipt

EFS ID:	3650346
Application Number:	10627873
International Application Number:	
Confirmation Number:	3618
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
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Attorney Docket Number:	10392/460041
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$930

RAM confirmation Number		2011			
Deposit Account		110600			
Authorized User					
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		ResponsetoFinalOA.pdf	100654	yes	9
			a3d50d21c0b53b956ad425f3e1cd0307a N63e3d0d		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Claims		2	6	
	Applicant Arguments/Remarks Made in an Amendment		7	9	
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	RCE.pdf	738598	no	3
			7d1b0d6616e604d7332ce248da20fb21c9 99d3c1cc		
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8428	no	2
			d719b3d62514fb54c487dca8e15e35c63 92b9222		
Warnings:					
Information:					
Total Files Size (in bytes):			847680		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.